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#3 filed 5-15-02  
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PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

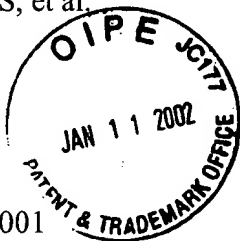
In re application of

Andrew Lennard LEWIS, et al.

Appln. No. 09/987,721

Confirmation No.:

Filed: November 15, 2001



Group Art Unit: Not Assigned

Examiner: Not Assigned

For: POLYMERS AND POLYMERISATION PROCESSES

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §§ 1.97 and 1.98**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached Form PTO-1449 and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

U.S. Patent No. 5,648,442, issued July 15, 1997;

U.S. Patent No. 3,497,482, issued February 24, 1970;

U.S. Patent No. 6,225,431 B1, issued May 1, 2001;

U.S. Patent No. 5,705,583, issued January 6, 1998;

U.S. Patent No. 5,739,236, issued April 14, 1998;

U.S. Patent No. 6,090,901, issued July 18, 2000;

U.S. Patent No. 6,087,462, issued July 11, 2000;

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U.S. Appln. No.

U.S. Patent No. 5,783,650, issued July 21, 1998

World Patent No. WO 01/09208 A1, published February 8, 2001;

World Patent No. WO 93/01221, published January 21, 1993;

World Patent No. WO 94/14897, published July 7, 1994;

World Patent No. WO 01/27209 A1, published April 19, 2001;

Yamaguchi et al., "Emulsion polymerization of styrene using phospholipid as emulsifier. Immobilization of phospholipids on the latex surface," Makromol. Chem., vol. 190, (1989), pp. 1195-1205;

Sugiyama et al., "Synthesis of Poly(methyl methacrylate) Microspheres Bearing Phosphatidylcholine Analogous and Azobenzene Moieties," Polymer Journal, vol. 25, No. 5 (1993), pp. 521-527;

Sugiyama et al., "Adsorption of Protein on the Surface of Thermosensitive Poly(Methyl Methacrylate) Microspheres Modified with the N-(2-Hydroxypropyl) methacrylamide and 2-(Methacryloyloxy) ethyl Phosphorylcholine Moieties," Journal of Polymer Science, vol. 35, (1997), pp. 3349-3357; and

Zimehl et al., "Some aspects of polymer colloids I. Preparation and properties of different types of latex particles," Colloid & Polymer Science, vol. 268, (1990), pp. 924-933.

One copy of each of the listed documents is submitted herewith.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date for an application other than a continued prosecution application (CPA) under § 1.53(d); (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under § 1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.


The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not

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waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Respectfully submitted,

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